

Philip Morris Docket No. H26787-4521  
Practitioner's Docket No. 7037-01-3001370

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Lehmann et al.**

Group No.: **Not Yet Assigned**

Application No.: **10/518,060**

Examiner: **Not Yet Assigned**

Filed: **December 16, 2004**

For: **Silyl Alkyl Esters of Anthracene- and Phenanthrene Carboxylic Acids**

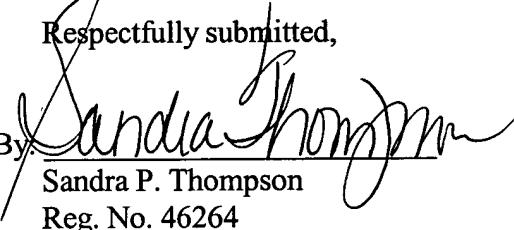
**Mail Stop DD**  
**Commissioner for Patents**  
**PO Box 1450**  
**Alexandria, VA 22313-1450**

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
 WITHIN THREE MONTHS OF FILING OR  
 BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. 1.97(b))**

**IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING  
 INFORMATION DISCLOSURE STATEMENT**

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).

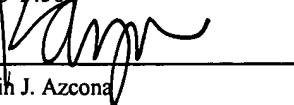
Date: March 9, 2005

Respectfully submitted,  
  
 By: Sandra P. Thompson  
 Sandra P. Thompson  
 Reg. No. 46264

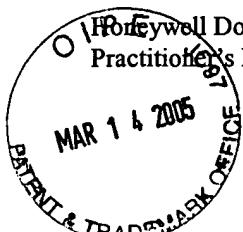
Attorneys for Applicant  
 Bingham McCutchen  
 600 Anton Blvd., 18<sup>th</sup> Floor  
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**CERTIFICATE OF MAILING (37 C.F.R. 1.8(a))**

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

  
 Kristin J. Azcona

Date: March 9, 2005



**IN THE UNITED STATE PATENT AND TRADEMARK OFFICE**

In re application of: **Lehmann et al.**

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and Phenanthrene Carboxylic Acids**

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**INFORMATION DISCLOSURE STATEMENT**

Mail Stop DD  
Commissioner of Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the United States Patent and Trademark Office of all references coming to the attention of the Applicant(s) or attorneys or agents for Applicant(s) which are or may be material to the examination of the subject application, attorneys for the Applicant(s) hereby invite the Examiner's attention to the references listed in the accompanying PTO Form 1449 entitled "List of References Cited".

This submission is understood to complement the results of the Examiner's own independent search. The submission of this Disclosure Statement should not be construed as a representation that a search was made, or that the cited items are inclusive of all relevant and material citations that may be available publicly.

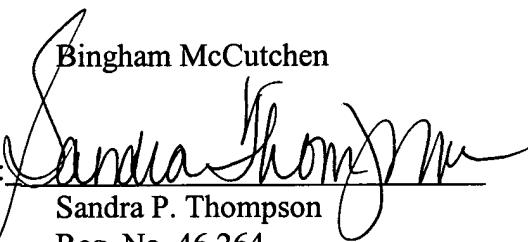
Honeywell Docket No. H26787 US-4521  
Practitioner's Docket No. 7037642001-3001370

Applicant(s) respectfully request that the Examiner review the foregoing references, as set forth in the Form PTO-1449, and that they be made of record in the file history of the above-captioned application.

Respectfully submitted,

Bingham McCutchen

Dated: March 9, 2005

By:   
Sandra P. Thompson  
Reg. No. 46,264

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LIST OF REFERENCES OFFED BY APPLICANT <i>(Use several sheets if necessary)</i>	ATTY. DOCKET NO.	SERIAL NO.
	7037642001-30	10/518060
	APPLICANT	
	Lehmann et al.	
FILING DATE	GROUP	
December 16, 2004	Not Yet Assigned	

MAR 14 2005  
PATENT & TRADEMARK OFFICE

#### U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	BB						
	CC						
	DD						
	EE						
	FF						
	GG						
	HH						
	II						

#### FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	JJ							
	KK							

#### OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	LL	PCT International Preliminary Examination Report
	MM	PCT Search Report
	PP	

EXAMINER		DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether in conformance and not considered. Include cor  
not citation is in conformance with MPEP 609; Draw line through citation if not this form with next communication to applicant.

Please acknowledge receipt of the following by affixing hereon the Official date stamp and returning this card to our office.

Information Disclosure Statement

Title: Silyl Alkyl Esters of Anthracene- and Phenanthrene  
Inventor: Carboxylic Acids  
Uwe Lutz Lehmann, et al.  
Filing Date: 15 December 2004  
Serial Number: 10/518,060  
Matter Type: Patent - US  
Date of Deposit: March 9, 2005  
Matter #: 7037642001-3001370  
Enclosures: (1) IDS Transmittal (1 page); (2) Information Disclosure Statement (2 pages); (3) Form 1449 w/Copies of References Cited; and (4) postcard.

DATE MAILED: 3/19/05

SBU: 4521

PATENT APPLICATION FOR: Silyl Alkyl Esters of Anthracene

INVENTOR(S): Lehmann et al.

SERIAL NO.: 10/518,060

THE FOLLOWING HAS BEEN RECEIVED IN THE U.S. PATENT AND TRADEMARK OFFICE ON THE DATE STAMPED HEREON:

Missing Parts of Application Transmittal \$ \_\_\_\_\_  
 Combined Declaration/Power of Attorney \$ \_\_\_\_\_  
 Power of Attorney  
 Assignment and Cover Sheet: \$ \_\_\_\_\_  
 Information Disclosure Statement  ISR  From PTO 1449 with 2 References \$ \_\_\_\_\_  
 Request for Corrected Filing Receipt  
 Amendment/Response ( \_\_\_\_\_ page(s)) \$ \_\_\_\_\_  
 Petition for Extension of Time ( \_\_\_\_\_ months) \$ \_\_\_\_\_  
 Amendment After Final Rejection ( \_\_\_\_\_ page(s)) \$ \_\_\_\_\_  
 Notice of Appeal  
 Appeal Brief ( \_\_\_\_\_ page(s)) \$ \_\_\_\_\_  
 Issue Fee Transmittal \$ \_\_\_\_\_  
 Formal Drawing(s); No. of Sheets \_\_\_\_\_  
 Total fees charged to Deposit Account No. 01-1125: \$ \_\_\_\_\_

OTHER \_\_\_\_\_

Attorney Docket No.: H26781 US

Attorney: S. Jacobson  
Outside Counsel: Bingham